

## Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 4



Applicants: Cain et al. Attorney Docket: 2204/A50  
 Serial No: 09/661,273 Art Group Unit: 2152  
 Date Filed: September 13, 2000 Examiner Name:  
 Invention: SYSTEM, DEVICE, AND METHOD FOR RECEIVER ACCESS CONTROL  
 IN AN INTERNET TELEVISION SYSTEM

### LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

#### Other Documents

Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
<u>Thn</u>	AA	Fenner	"Internet Group Management Protocol, Version 2" Internet Engineering Task Force Request for Comments: 2236 November 1997
<u>Thn</u>	AB	Estrin et al.	"Protocol Independent Multicast - Sparse Mode (PIM-SM): Protocol Specification" Internet Engineering Task Force Request for Comments: 2362 June 1998
<u>Thn</u>	AC	Deering et al.	"Protocol Independent Multicast Version 2 Dense Mode Specification" Internet Engineering Task Force Internet Draft draft-ietf-pim-v2-dm-03.txt June 7, 1999
<u>Thn</u>	AD	Durham et al.	"The COPS (Common Open Policy Service) Protocol" Internet Engineering Task Force Request for Comments: 2748 January 2000

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"Internet Group Management Protocol, Version 3"  
Internet Engineering Task Force  
Internet Draft draft-ietf-idmr-igmp-v3-04.txt  
June 2000

Examiner Signature: Thechayaym

Date Considered: 11/24/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

02204/00A50 141966.1

## **Section 6. Copies of Listed Information Items Accompanying This Statement**

**NOTE:** 37 C.F.R. 1.98(a)(2) requires that any information disclosure statement filed under § 1.97 shall include: "A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . . "

**NOTE:** The wording in § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

*(complete the following, if applicable)*

Exception(s) to above:

- Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
- Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement—Section 6. Copies of Listed Information Items Accompanying This Statement [6-1]—page 6 of 7 )

**Section 10. Identification of Person(s) Making This Information Disclosure Statement**

The person making this statement is

(check each applicable item)

(a)  the inventor(s) who signs below

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SIGNATURE OF INVENTOR

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(type name of inventor who is signing)

(b)  an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c))

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SIGNATURE OF INVENTOR

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(type name of inventor who is signing)

(c)  the practitioner who signs below on the basis of the information:

(check each applicable item)

supplied by the inventor(s).  
 supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. § 1.56(c))  
 in the practitioner's file.



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SIGNATURE OF PRACTITIONER

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(Information Disclosure Statement—Section 10. Identification of Person(s) Making This Information Disclosure Statement [6-1]—page 7 of 7)